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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR   | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|------------------------|---------------------|------------------|
| 09/895,716      | 06/29/2001  | Venkatesh Kambhammettu | 42390P11148         | 6479             |

7590 10/27/2004

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

EXAMINER

TRUONG, LECHI

ART UNIT

PAPER NUMBER

2126

DATE MAILED: 10/27/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

|   |   |   |
|---|---|---|
| <b>Advisory Action</b>  | <b>Application No.</b><br><b>09/895,716</b> | <b>Applicant(s)</b><br><b>KAMBHAMMETTU ET AL.</b> |
|   | <b>Examiner</b><br><b>LeChi Truong</b>      | <b>Art Unit</b><br><b>2126</b>                    |
| <b>--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --</b>   |   |   |
| <p>THE REPLY FILED 03 August 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may <u>only</u> be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.</p>  |   |   |
| <b>PERIOD FOR REPLY [check either a) or b)]</b>   |   |   |
| <p>a) <input type="checkbox"/> The period for reply expires _____ months from the mailing date of the final rejection.</p> <p>b) <input checked="" type="checkbox"/> The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.</p> <p style="text-align: center;"><b>ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).</b></p>   |   |   |
| <p>Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</p> |   |   |
| <p>1. <input type="checkbox"/> A Notice of Appeal was filed on _____. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.</p>   |   |   |
| <p>2. <input type="checkbox"/> The proposed amendment(s) will not be entered because:</p>   |   |   |
| <p>(a) <input type="checkbox"/> they raise new issues that would require further consideration and/or search (see NOTE below);</p>  |   |   |
| <p>(b) <input type="checkbox"/> they raise the issue of new matter (see Note below);</p>  |   |   |
| <p>(c) <input type="checkbox"/> they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or</p>  |   |   |
| <p>(d) <input type="checkbox"/> they present additional claims without canceling a corresponding number of finally rejected claims.</p>   |   |   |
| <p>NOTE: _____.</p>   |   |   |
| <p>3. <input type="checkbox"/> Applicant's reply has overcome the following rejection(s): _____.</p>  |   |   |
| <p>4. <input type="checkbox"/> Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).</p>   |   |   |
| <p>5. <input checked="" type="checkbox"/> The a)<input type="checkbox"/> affidavit, b)<input type="checkbox"/> exhibit, or c)<input checked="" type="checkbox"/> request for reconsideration has been considered but does NOT place the application in condition for allowance because: <u>See Continuation Sheet</u>.</p>  |   |   |
| <p>6. <input type="checkbox"/> The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.</p>  |   |   |
| <p>7. <input checked="" type="checkbox"/> For purposes of Appeal, the proposed amendment(s) a)<input type="checkbox"/> will not be entered or b)<input checked="" type="checkbox"/> will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.</p>  |   |   |
| <p>The status of the claim(s) is (or will be) as follows:</p>   |   |   |
| <p>Claim(s) allowed: <u>NONE</u>.</p>   |   |   |
| <p>Claim(s) objected to: <u>NONE</u>.</p>   |   |   |
| <p>Claim(s) rejected: <u>1-23</u>.</p>  |   |   |
| <p>Claim(s) withdrawn from consideration: _____.</p>  |   |   |
| <p>8. <input type="checkbox"/> The drawing correction filed on _____ is a)<input type="checkbox"/> approved or b)<input type="checkbox"/> disapproved by the Examiner.</p>  |   |   |
| <p>9. <input type="checkbox"/> Note the attached Information Disclosure Statement(s) ( PTO-1449) Paper No(s). _____.</p>  |   |   |
| <p>10. <input type="checkbox"/> Other: _____.</p>   |   |   |
| <br><b>MENG-AL T. AN</b><br><b>SUPERVISORY PATENT EXAMINER</b><br><b>TECHNOLOGY CENTER 2100</b>  |   |   |

Continuation of 5. does NOT place the application in condition for allowance because: Applicant argued (1) " Reiner and Barroux neither individually nor when combined teach or reasonably suggest an agent program that is resident on the customer system that collects of audit data".

(2) " the agent program found in claim 1 captures a snapshot of a customer server and also periodically performs audits on the customer server"

Examiner respectfully traverses Applicant's remarks:

As to the point (1), Barroux teaches Integrated resource 200 is a tool for collecting and managing survey information (col 3, In 42 44/ col 4, In 10-14), SNMP probe system 214 makes use of SNMP management agents resident on nodes 218 to collect further information (col 3, In 47-49/ Fig. 2), RPC probe system 216 invokes the agents to collect the detailed configuration information (col 3, In 51-53).

As to the point (2), the agent program found in claim 1 captures a snapshot of a customer server and also periodically performs audits on the customer server" was not in claim 1. However, Barroux also teach provide a snapshot of the current state of the network and also track changes in configuration over time/ the record includes the first time that the node was observed configuration and the last time that the node had the observed configuration, col 1, In 53-56 and col 1, In 60-65).